

PTO/SB/01 (07-06)

Approved for use through 01/31/07. OMB 0651-0032

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted With Initial Filing

OR

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	11321-P077WOUS
First Named Inventor	RICHARD E. SMALLEY ET AL.
<b>COMPLETE IF KNOWN</b>	
Application Number	10/575,352
Filing Date	OCTOBER 14, 2004
Art Unit	UNKNOWN
Examiner Name	UNKNOWN

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AMPLIFICATION OF CARBON NANOTUBES VIA SEEDED-GROWTH METHODS**

(Title of the Invention)

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY) October 14, 2004 as United States Application Number or PCT International

Application Number PCT/US2004/34002 and was amended on (MM/DD/YYYY)   (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
N/A			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/013 attached hereto.

[Page 1 of 2]

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:

The address associated with Customer Number:

61060

OR

Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:** A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Richard E.

Family Name or Surname

Smalley, Deceased

Inventor's Signature

*Susan M. Smalley, Executrix of the Estate of Smalley, Richard E., 8/10/02*

Date

Residence: City

Houston

State

TX

Country

US

Citizenship

US

Mailing Address  
1816 Bolsover Street

City

Houston

State

TX

Zip

77005

Country

US

Additional inventors or a legal representative are being named on the 4

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

PTO/SO-102A (07-06)

Approved for use through 01/31/2007, ON: 0661-0002

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**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

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**DECLARATION**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Robert H. Haage		Haage	
Inventor's Signature			Date 3/12/2007
Houston Residence: City	TX State	US Country	US Citizenship
4031 Turnberry Circle			
Mailing Address			
Houston City	TX State	77025 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Andrew G. Barron		Barron	
Inventor's Signature			Date 2/15/07
Houston Residence: City	TX State	US Country	GB Citizenship
241 Arbury			
Mailing Address			
Houston City	TX State	77007 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
James M. Tour		Tour	
Inventor's Signature			Date 2/15/07
Bellair Residence: City	TX State	US Country	US Citizenship
4625 Spruce Street			
Mailing Address			
Bellair City	TX State	77401 Zip	US Country

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**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**Page 4 of 6

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Howard K.		Schmidt	
Inventor's Signature			Date <u>2/7/07</u>
Cypress Residence: City	TX State	US Country	US Citizenship
20702 Bradford Creek Court			
Mailing Address			
Cypress City	TX State	77433 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
W. Edward		Billups	
Inventor's Signature	<u>W. Edward Billups</u>		Date <u>12/20/06</u>
Houston Residence: City	TX State	US Country	US Citizenship
8416 Rutgers			
Mailing Address			
Houston City	TX State	77005 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Christopher A.		Dyko	
Inventor's Signature	<u>L.A.D.</u>		Date <u>2-15-2007</u>
Humble Residence: City	TX State	US Country	US Citizenship
18611 Spawood Drive			
Mailing Address			
Humble City	TX State	77346 Zip	US Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**DECLARATION****ADDITIONAL INVENTOR(S)**

## Supplemental Sheet

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Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Valerie C.

Moore

Inventor's Signature

Date

03-19-2007

Houston

Residence: City

TX

State

US

Country

US

Citizenship

2255 Braeswood Park Dr., No. 139

Mailing Address

Houston

City

TX

State

77030

Zip

US

Country

Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Elizabeth

Whitsett

Inventor's Signature

Date

04/07

Houston

Residence: City

TX

State

US

Country

US

Citizenship

806 LaMonte Lane

Mailing Address

Houston

City

TX

State

77018

Zip

US

Country

Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Robin E.

Anderson

Inventor's Signature

Date

13 Apr 07

Toronto

Residence: City

Ontario

State

CA

Country

US

Citizenship

100 Gloucester St., Apt. 803

Mailing Address

Toronto

City

ON

State

M4Y1M1

Zip

CA

Country

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**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**Page 6 of 6

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ramon		Colorado, Jr.	
Inventor's Signature			Date <u>4/15/07</u>
Houston Residence: City	TX State	US Country	US Citizenship
5104 Irving Way			
<b>Mailing Address</b>			
Houston City	TX State	77037-3629 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Michael P.		Stewart	
Inventor's Signature	<u>Michael P. Stewart</u>		Date <u>31-Jan-07</u>
Mountain View Residence: City	CA State	US Country	US Citizenship
392 N. Rengstorff Ave., Apt. 2.			
<b>Mailing Address</b>			
Mountain View City	CA State	94043 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Douglas C.		Ogrin	
Inventor's Signature	<u>Douglas C.</u>		Date <u>3-14-07</u>
Houston Residence: City	TX State	US Country	US Citizenship
2825 Bellefontaine, No. 240A			
<b>Mailing Address</b>			
Houston City	TX State	77025 Zip	US Country

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